



#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Leung et al.**

Serial No.: **09/738,243**

Filed: **December 15, 2000**

For: **Method and Apparatus for Dual  
Hardware and Software  
Cryptography**

§  
§  
§  
§  
§  
§  
§

Group Art Unit: **2131**

Examiner: **Unknown**

Attorney Docket No.: **AUS920000814US1**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

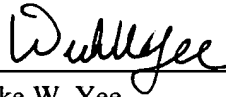
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: March 23, 2001

A handwritten signature in cursive script, appearing to read "Duke W. Yee", is written over a horizontal line.

Duke W. Yee

*Registration No. 34,285*

**CARSTENS, YEE & CAHOON, LLP**

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

APR X 2 2001

Technology Center 2100

U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE

Page 1 of 1

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>AUS920000814US1</b>		SERIAL NO. <b>09/738,243</b>	
			APPLICANT <b>Leung et al.</b>			
			FILING DATE <b>December 15, 2000</b>		GROUP ART UNIT <b>2131</b>	

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE	
	AA 5706489	Jan. 6, 1998	Chi et al.	395/569	Oct. 18, 1995	
	AB 5048086	Sep. 10, 1991	Bianco et al.	380/28	Jul. 16, 1990	
	AC 5901227	May 4, 1999	Perlman	380/21	Jun. 20, 1996	
	AD 6028939	Feb. 22, 2000	Yin	380/49	Jan. 3, 1997	
	AE 6070198	May 30, 2000	Krause et al.	709/321	Oct. 16, 1996	
	AF 6122742	Sep. 19, 2000	Young et al.	713/201	Jun. 18, 1997	
	AG 5343527	Aug. 30, 1994	Moore	380/4	Oct. 27, 1993	

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO	

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)		

DATE CONSIDERED	EXAMINER
-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.